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ESSAYS ON TRUE DEMOCRACY AND CAPITALISM

Providing Decent Living With Minimum Energy: A Global Scenario

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Abstract

t is increasingly clear that averting ecological breakdown will require drastic changes to contemporary human society and the global economy embedded within it. On the other hand, the basic material needs of billions of people across the planet remain unmet. Here,

We find that global final energy consumption in 2050 could be reduced to the levels of the 1960s, despite a population three times larger. However, such a world requires a massive rollout of advanced technologies across all sectors, as well as radical demand-side changes to reduce consumption.

we develop a simple, bottom-up model to estimate a practical minimal

threshold for the final energy consumption required to provide decent material livings to the entire global population. We find that global final energy consumption in 2050 could be reduced to the levels of the 1960s, despite a population three times larger. However, such a world requires a massive rollout of advanced technologies across all sectors, as well as radical demand-side changes to reduce consumption – regardless of income – to levels of sufficiency. Sufficiency is, however, far more materially generous in our model than what those opposed to strong reductions in consumption often assume.



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Introduction

The annual energy use of late-Palaeolithic foragers is estimated to have been around 5 GJ per person annually (Smil,

Evidence suggests that for much of the past 10,000 years agriculture led to a declining quality of life for most human populations, compared to their forager predecessors. 2017)¹ – the sum of food-energy metabolised plus biomass for cooking. By 1850, after nearly 10,000 years of agriculturally-supported expansion, average global primary energy consumption rose to over 20 GJ/cap (GEA, 2012).² Today, after 150 years of fossil-fuelled industrial

development, it has reached 80 GJ/cap (IEA, 2019a).³ In absolute terms, total global primary energy use has risen from around 1 PJ in the late-Palaeolithic to nearly 600,000 PJ today, driving changes in the composition of the atmosphere (warming) and oceans (acidification) leading to dangerous climate change (IPCC, 2018).⁴

Have the massive increases in energy consumption that accompanied the agricultural and industrial revolutions brought about comparable improvements for human well-being? Evidence suggests that for much of the past 10,000 years agriculture led to a declining quality of life for most human populations, compared to their forager predecessors (Larsen, 2006).⁵ But recent centuries have seen a rapid reversal of this trend, with improvements in health indicators across the board. However, it is difficult to say whether humans today are better off than ancient foragers (Diamond, 2010),⁶ who were far more socially and politically sophisticated than is often assumed (Wengrow and Graeber, 2015).⁷ Available data – life expectancy, child mortality, rates of violence seen in some modern foraging societies – can never tell the full story (Harari, 2016).⁸

Regarding the modern era, however, some things can be stated with certainty:

First, current levels of energy use underpin numerous existential threats – ecological crises (Haberl et al., 2011,⁹ Steffen et al., 2015),¹⁰ resource scarcity, and the geopolitical instabilities these issues can catalyse, especially in a growth-dependent global economy (Büchs and Koch, 2019).¹¹ And those most severely impacted tend to be the least well off (Haberl et al., 2011).¹²

¹ ↔ V. Smil: Energy and Civilization: A History – MIT Press, Boston (2017)

² ightarrow GEA 2012. Global Energy Assessment - Toward a Sustainable Future, Cambridge University Press, Cambridge, UK and New York, NY, USA and the International Institute for Applied Systems Analysis, Laxenburg, Austria.

³ • IEA World Energy Outlook 2019 OECD/IEA, Paris (2019)

⁴ [•] IPCC: Global Warming of 1.5 Degrees World Meteorological Association, Geneva (2018)

⁵ \leftrightarrow C.S. Larsen: The agricultural revolution as environmental catastrophe: implications for health and lifestyle in the Holocene - Quat. Int., 150 (2006), pp. 12-20

 $^{^{}_{6}}$ $\stackrel{}{
m \circ}$ J. Diamond: The Worst Mistake in the History of the Human Race Oplopanax Publishing (2010)

^{7 -} D. Wengrow, D. Graeber: Farewell to the 'childhood of man': ritual, seasonality, and the origins of inequality - J. R. Anthropol. Inst., 21 (2015), pp. 597-619

⁸ – Y.N. Harari: Sapians: A Brief History of Humankind — Harvill Seker, London (2016)

^{9 ←} H. Haberl, M. Fischer-Kowalski, F. Krausmann, J. Martinez-Alier, V. Winiwarter: <u>A socio-metabolic transition towards sustainability? Challenges for</u> another Great Transformation

¹⁰ ↔ W. Steffen, K. Richardson, J. Rockström, S.E. Cornell, I. Fetzer, E.M. Bennett, R. Biggs, S.R. Carpenter, W. De Vries, C.A. De Wit, C. Folke, D. Gerten, J. Heinke, G.M. Mace, L.M. Persson, V. Ramanathan, B. Reyers, S. Sörlin: Planetary boundaries: guiding human development on a changing planet Science, 347 (2015), p. 1259855

^{11 -} M. Büchs, M. Koch: Challenges for the degrowth transition: the debate about wellbeing Futures, 105 (2019), pp. 155-165

^{12 -} H. Haberl, M. Fischer-Kowalski, F. Krausmann, J. Martinez-Alier, V. Winiwarter: <u>A socio-metabolic transition towards sustainability? Challenges for</u> another Great Transformation – Sustainable Dev., 19 (2011), pp. 1-14

Second, while immense improvements in energy efficiency have occurred throughout the industrial revolution, these largely served to boost productivity and enable further growth (Brockway et al., 2017,¹³ Sakai et al., 2018,¹⁴ Ayres and

Increases in societies' energy use seen in recent decades have had no benefit for the well-being of their populations – social returns on energy consumption per capita become increasingly marginal.

Warr, 2010).¹⁵ Global energy use has thus risen consistently (GEA, 2012),¹⁶ with the exception of financial crises – whose effects soon wear off (Geels, 2013)¹⁷ – and global pandemics (Le Quéré et al., 2020)¹⁸ – the longterm impacts of which are yet to be seen. In countries

where economic activity appears to have been decoupled from energy-use, this normally turns out to be an artefact of accounting conventions (Arto et al., 2016,¹⁹ Haberl et al., 2020)²⁰ – namely, production-based methods, which ignore offshoring of production and imported goods (Peters, 2008,²¹ Peters et al., 2011).²²

Finally, the drastic increases in societies' energy use seen in recent decades have, beyond a certain point, had no benefit for the well-being of their populations – social returns on energy consumption per capita become increasingly marginal (Arto et al., 2016,²³ Steinberger and Roberts, 2010,²⁴ Steinberger et al., 2012,²⁵ Martínez and Ebenhack, 2008).²⁶ Some countries thus achieve high social outcomes with far lower energy consumption than others, but none currently manage to achieve high social outcomes while staying within planetary boundaries (O'Neill et al., 2018).²⁷

17 - F.W. Geels: The impact of the financial-economic crisis on sustainability transitions: financial investment, governance and public discourse – Environ. Innov. Societal Transitions, 6 (2013), pp. 67-95

¹⁸ C. Le Quéré, R.B. Jackson, M.W. Jones, A.J.P. Smith, S. Abernethy, R.M. Andrew, A.J. De-Gol, D.R. Willis, Y. Shan, J.G. Canadell, P. Friedlingstein, F. Creutzig, G.P. Peters: Temporary reduction in daily global CO2 emissions during the COVID-19 forced confinement. Nature – Clim. Change (2020)

²⁰ H. Helmut, W. Dominik, V. Doris, K. Gerald, P. Barbara, B. Paul, F. Tomer, H. Daniel, P.K. Fridolin, L.-G. Bartholomäus, M. Andreas, P. Melanie, S. Anke, S. Tânia, S. Jan, C. Felix: A systematic review of the evidence on decoupling of GDP, resource use and GHG emissions, part II: synthesizing the insights – Environ. Res. Lett., 15 (2020)

21 - G.P. Peters: From production-based to consumption-based national emission inventories — Ecol. Econ., 65 (2008), pp. 13-23

¹³ ← P.E. Brockway, H. Saunders, M.K. Heun, T.J. Foxon, J.K. Steinberger, J.R. Barrett, S. Sorrell: <u>Energy rebound as a potential threat to a low-carbon future: findings from a new exergy-based national-level rebound approach</u> – Energies, 10 (2017), pp. 1-24

^{14 -} M. Sakai, P.E. Brockway, J.R. Barrett, P.G. Taylor: <u>Thermodynamic Efficiency Gains and their role as a key 'Engine of Economic Growth'</u> – Energies, 12 (2018), p. 110

^{15 -} R.U. Ayres, B. Warr: The Economic Growth Engine: How Energy and Work Drive Material Prosperity — Edward Elgar Publishing, Cheltenham, UK (2010)

^{16 -} GEA 2012. Global Energy Assessment - Toward a Sustainable Future, Cambridge University Press, Cambridge, UK and New York, NY, USA and the International Institute for Applied Systems Analysis, Laxenburg, Austria.

^{19 🕶} I. Arto, I. Capellán-Pérez, R. Lago, G. Bueno, R. Bermejo: <u>The energy requirements of a developed world</u> — Energy Sustainable Dev., 33 (2016), pp. 1-13

²² • G.P. Peters, J.C. Minx, C.L. Weber, O. Edenhofer: <u>Growth in emission transfers via international trade from 1990 to 2008</u> Proc. Natl. Acad. Sci., 108 (2011), pp. 8903-8908

²³ • I. Arto, I. Capellán-Pérez, R. Lago, G. Bueno, R. Bermejo: <u>The energy requirements of a developed world</u> — Energy Sustainable Dev., 33 (2016), pp. 1-13

²⁴ J.K. Steinberger, J.T. Roberts: From constraint to sufficiency: the decoupling of energy and carbon from human needs, 1975–2005 – Ecol. Econ., 70 (2010), pp. 425-433

²⁵ • J.K. Steinberger, J.T. Roberts, G.P. Peters, G. Baiocchi: <u>Pathways of human development and carbon emissions embodied in trade</u> – Nat. Clim. Change, 2 (2012), pp. 81-85

²⁶ C.M. Martínez, B.W. Ebenhack: <u>Understanding the role of energy consumption in human development through the use of saturation phenomena</u> – Energy Policy, 36 (2008), pp. 1430-1435

²⁷ O.W. O'Neill, A.L. Fanning, W.F. Lamb, J.K. Steinberger: <u>A good life for all within planetary boundaries</u> – Nat. Sustainability, 1 (2018), pp. 88-95

True Democracy and Capitalism

Estimating the energy requirements of well-being is, therefore, an important but challenging task. Fortunately, recent

Infrastructure biased toward private vehicles ensures much of this mobility is car-dependent... inequality, and especially affluence, are now widely recognised as core drivers of environmental damage. advances have been made in both theory (Rao and Baer, 2012,²⁸ Day et al., 2016,²⁹ Brand-Correa and Steinberger, 2017)³⁰ and estimation (Rao et al., 2019,³¹ Arto et al., 2016).³² It has been argued that a finite and universal set of satiable human needs underpin life satisfaction (O'Neill et

al., 2018),³³ while the ways they can be satisfied are culturally, historically and technologically varied (Gough, 2015,³⁴ Brand-Correa et al., 2018).³⁵ Further, while efficiency improvements have undoubtedly contributed to the decreasing levels of energy associated with human development (Steinberger and Roberts, 2010),³⁶ other cultural and technological (long- and short-term) trends work counter to this. For example, diffuse contemporary social networks and a globalised economy necessitate high levels of mobility and complex communications technologies to meet basic needs of social and political participation, while infrastructure biased toward private vehicles ensures much of this mobility is cardependent. A global population in the billions necessitates substantial agricultural activity – the foraging methods of our ancestors were much less energy intense, but could support <1% of the current world population (Burger and Fristoe, 2018).³⁷ Moreover, inequality, and especially affluence, are now widely recognised as core drivers of environmental damage (Wiedmann et al., 2020).³⁸

Here, we aim to contribute to these debates by estimating minimum final energy requirements for decent living standards to be provided to the entire global population in 2050. We build an energy model upon the existing

The final energy requirements for providing decent living standards to the global population in 2050 could be over 60% lower than consumption today. In countries that are today's highest per-capita consumers, cuts of ~95% appear possible while still providing decent living standards to all. framework of Rao and Min (2018a),³⁹ which proposes a list of basic material needs that underpin human well-being, and consider final (as opposed to primary) energy in order to move a step closer to the energy requirements of social life. These material needs are in many ways specific to our time, but can be taken as a reasonable basis for the coming decades. We find that, with a combination of the most

efficient technologies available and radical demand-side transformations that reduce excess consumption to sufficiency levels, the final energy requirements for providing decent living standards to the global population in 2050 could be

²⁸ An.D. Rao, P. Baer: <u>"Decent Living" emissions: a conceptual framework</u> – Sustainability, 4 (2012), pp. 656-681

²⁹ \leftarrow R. Day, G. Walker, N. Simcock: <u>Conceptualising energy use and energy poverty using a capabilities framework</u> – Energy Policy, 93 (2016), pp. 255-264

³⁰ - L.I. Brand-Correa, J.K. Steinberger: <u>A Framework for decoupling human need satisfaction from energy use</u> – Ecol. Econ., 141 (2017), pp. 43-52

^{31 -} N.D. Rao, J. Min, A. Mastrucci: Energy requirements for decent living in India, Brazil and South Africa – Nat. Energy, 4 (12) (2019), pp. 1025-1032

³² • I. Arto, I. Capellán-Pérez, R. Lago, G. Bueno, R. Bermejo: <u>The energy requirements of a developed world</u> – Energy Sustainable Dev., 33 (2016), pp. 1-13

^{33 🕈} D.W. O'Neill, A.L. Fanning, W.F. Lamb, J.K. Steinberger: A good life for all within planetary boundaries – Nat. Sustainability, 1 (2018), pp. 88-95

³⁴ 🟳 I. Gough: <u>Climate change and sustainable welfare: the centrality of human needs</u> – Camb. J. Econ., 39 (2015), pp. 1191-1214

³⁵ • L.I. Brand-Correa, J. Martin-Ortega, J.K. Steinberger: <u>Human scale energy services: untangling a 'golden thread'</u> – Energy Res. Social Sci., 38 (2018), pp. 178-187

³⁶ Control J.K. Steinberger, J.T. Roberts: From constraint to sufficiency: the decoupling of energy and carbon from human needs, 1975–2005 – Ecol. Econ., 70 (2010), pp. 425-433

^{37 🕹} J.R. Burger, T.S. Fristoe: Hunter-gatherer populations inform modern ecology – Proc. Natl. Acad. Sci., 115 (2018), pp. 1137-1139

³⁸ 🕶 T. Wiedmann, M. Lenzen, L.T. Keyßer, J.K. Steinberger: <u>Scientists' warning on affluence</u> – Nat. Commun., 11 (2020), p. 3107

³⁹ 🟳 N.D. Rao, J. Min: <u>Decent living standards: material prerequisites for human wellbeing</u> – Soc. Indic. Res., 138 (2018), pp. 225-244

over 60% lower than consumption today. In countries that are today's highest per-capita consumers, cuts of ~95% appear possible while still providing decent living standards to all.

Background and Theory

Two perspectives on human well-being and basic needs

What do we mean by decent living, and what is its relationship to well-being? Debates about the good life can be traced back millennia to Aristotelian and Buddhist ideas (Gough, 2015)⁴⁰ and likely extend back into unwritten (pre)history. The topic is thus vast, but in ecological contexts debates have largely revolved around two types of well-being: hedonic and eudaimonic (Lamb and Steinberger, 2017,⁴¹ Brand-Correa and Steinberger, 2017,⁴² Gough, 2015,⁴³ O'Neill, 2008).⁴⁴

The former has roots in Bentham's utilitarianism and Epicurean philosophy, and tends towards questions of happiness

Adaptivity is a highly desirable characteristic, given how much of the external circumstances of humans' lives are beyond their control, and how fleeting desires can be. and subjective well-being; calculus of pleasure and pain (O'Neill, 2008).⁴⁵ There has been a tendency within economics for such ideas to be simplified into the notion that more is better, and that individuals can rationally judge what to consume to improve their lives (Gough, 2015).⁴⁶ In short, an assumption that rising incomes Easterlin, 2017).⁴⁸ Others have used the same ideas to highlight the

True Democracy and Capitalism

can consistently raise well-being (Max-Neef, 1995,⁴⁷ Easterlin, 2017).⁴⁸ Others have used the same ideas to highlight the hedonic-treadmill of consumption, where people constantly adapt to improved material circumstances, so that well-being stagnates despite increasing wealth. From this perspective, true happiness can only be obtained by turning away

This is where eudaimonic conceptions of well-being enter: physical health and safety; clean air and water and adequate nutrition; social and political participation; autonomy cultivated through education and cognitive understanding; time and space for imagination and social play. from the world of positional consumption and insatiable desires (O'Neill, 2008,⁴⁹ Jackson, 2005).⁵⁰ This 'adaptivity' has also been criticised for its contrary effects: when people adapt to difficult circumstances this can leave subjective well-being measures obscuring systemic injustices (Lamb and Steinberger, 2017).⁵¹ Nonetheless, such adaptivity is a highly desirable characteristic, given

how much of the external circumstances of humans' lives are beyond their control, and how fleeting desires can be – things the Buddha taught millennia ago.

- 44 J. O'Neill: Happiness and the Good Life Environ. Values, 17 (2008), pp. 125-144
- ⁴⁵ ← Ibidem.

^{40 🕂} I. Gough: Climate change and sustainable welfare: the centrality of human needs – Camb. J. Econ., 39 (2015), pp. 1191-1214

⁴¹ • W.F. Lamb, J.K. Steinberger: Human well-being and climate change mitigation – Wiley Interdiscip. Rev. Clim. Change, 8 (2017), Article e485

^{42 🕹} L.I. Brand-Correa, J.K. Steinberger: A Framework for decoupling human need satisfaction from energy use – Ecol. Econ., 141 (2017), pp. 43-52

⁴³ - I. Gough: <u>Climate change and sustainable welfare: the centrality of human needs</u> – Camb. J. Econ., 39 (2015), pp. 1191-1214

^{46 🕶} I. Gough: Climate change and sustainable welfare: the centrality of human needs – Camb. J. Econ., 39 (2015), pp. 1191-1214

^{47 🗝} M. Max-Neef: Economic growth and quality of life: a threshold hypothesis – Ecol. Econ., 15 (1995), pp. 115-118

^{48 -} R.A. Easterlin: Paradox Lost? - Rev. Behav. Econ., 4 (2017), pp. 311-339

⁴⁹ - J. O'Neill: Happiness and the Good Life – Environ. Values, 17 (2008), pp. 125-144

^{50 🕂} T. Jackson: Live better by consuming less?: is there a "double dividend" in sustainable consumption? – J. Ind. Ecol., 9 (2005), pp. 19-36

^{51 🕹} W.F. Lamb, J.K. Steinberger: Human well-being and climate change mitigation – Wiley Interdiscip. Rev. Clim. Change, 8 (2017), Article e485



Despite the human capacity to adapt to unfortunate circumstances, few argue against the idea that society should be structured such that basic human needs are universally met so far as possible. This is where eudaimonic conceptions of

Each material need should (a) satisfy at least one basic need, (b) not impede others' fulfilling their needs and (c) either be the only satisfier of a particular need.

net so far as possible. This is where eudaimonic conceptions of well-being enter, which underpin prominent capabilities- and needs-based-approaches (Fanning and O'neill, 2019,⁵² O'Neill, 2008).⁵³ Broadly, these focus on providing people with the capabilities required for flourishing – physical health and safety; clean air and water and adequate nutrition; social and political

participation; autonomy (so far as it's possible; Greene and Cohen, 2004)⁵⁴ cultivated through education and cognitive understanding; time and space for imagination and social play (Lamb and Steinberger, 2017,⁵⁵ Gough, 2015).⁵⁶ The argument that such basic needs are universal and independent of cultural context, rests on the distinction between needs and need satisfiers. Needs are universal; satisfiers culturally specific (Doyal and Gough, 1991).⁵⁷

Needs-based approaches along these lines have recently been used as a basis for developing a framework to decouple energy-use from human well-being (Brand-Correa and Steinberger, 2017).⁵⁸ But for modelling purposes, these basic human needs must be translated to material requirements. Recently, Rao and Min (2018a)⁵⁹ have stepped in to fill this gap by offering an inventory of universal material requirements they suggest are prerequisites for fulfilling basic human needs. In compiling the inventory, they proposed that each material need should (a) satisfy at least one basic need, (b) not impede others' fulfilling their needs and (c) either be the only satisfier of a particular need, or currently be overwhelmingly preferred by people (globally) among competing satisfiers. They are clear to stress that fulfilment of these material requirements are instrumental to achieving social and physical well-being, but are by no means sufficient alone. Their inventory is shown in Table 1, along with an indication of all regional variations that we apply in the model (described in Methods and Data).

Our contribution is conceptually simple: We aim to estimate the final energy needed to provide these material living standards to the full global population. In this process, our intention is to imagine a world that is fundamentally transformed, where state-of-the-art technologies merge with drastic changes in demand to bring energy (and material) consumption as low as possible, while providing decent material conditions and basic services for all. To this end, we take a bottom-up modelling approach.

⁵² \leftrightarrow A.L. Fanning, D.W. O'Neill: The Wellbeing-Consumption paradox: happiness, health, income, and carbon emissions in growing versus nongrowing economies – J. Cleaner Prod., 212 (2019), pp. 810-821

⁵³ ← J. O'Neill: Happiness and the Good Life – Environ. Values, 17 (2008), pp. 125-144

⁵⁴ • J. Greene, J. Cohen: For the law, neuroscience changes nothing and everything – J. Philos. Trans. R. Soc. London Ser. B: Biol. Sci., 359 (2004), pp. 1775-1785

^{55 🕹} W.F. Lamb, J.K. Steinberger: Human well-being and climate change mitigation – Wiley Interdiscip. Rev. Clim. Change, 8 (2017), Article e485

⁵⁶ • I. Gough: <u>Climate change and sustainable welfare: the centrality of human needs</u> – Camb. J. Econ., 39 (2015), pp. 1191-1214

⁵⁷ - L. Doyal, I. Gough (Eds.), A Theory of Human Need, Macmillan Education UK, London (1991)

⁵⁸ - L.I. Brand-Correa, J.K. Steinberger: <u>A Framework for decoupling human need satisfaction from energy use</u> – Ecol. Econ., 141 (2017), pp. 43-52

^{59 🗠} N.D. Rao, J. Min: Decent living standards: material prerequisites for human wellbeing – Soc. Indic. Res., 138 (2018), pp. 225-244

True Democracy and Capitalism

Table 1. Inventory of the prerequisites for Decent Living Standards (DLS) (Rao and Min, 2018a) broken-down into key material requirements and services. The final column indicates where we implement regional variations in the model,

DLS dimension	Material requirements and services	Regional variation		
Nutrition	Food	Consumption varies with countries' age structures		
	Cooking appliances	None implemented		
	Cold Storage	None implemented		
Shelter and living	Sufficient housing space	None implemented		
conditions	Thermal comfort	Requirements vary with regional HDDs and CDDs		
	Illumination	None implemented		
Hygiene	Water supply	Intensity varies with water scarcity (higher scarcity \rightarrow higher intensities)		
	Water heating	Intensity varies with countries' average temperatures		
	Waste management	None implemented		
Clothing	Clothes	None implemented		
	Washing facilities	None implemented		
Healthcare	Hospitals	None implemented		
Education	Schools	Requirements vary with age structures (more young people \rightarrow mor schools)		
Comms' and information	Phones	Requirements vary with age structures (more children <10yo → less phones)		
	Computers	None implemented		
	Networks + data centres	None implemented		
Mobility	Vehicle production	Activity levels and mode shares vary with countries' adjusted		
	Vehicle's propulsion	- ('lived') population densities (higher densities \rightarrow lower activity levels)		
	Transport infrastructure			

Two approaches for estimating minimum energy-use requirements

Modelling attempts to estimate the energy requirements of meeting basic human needs and enabling a high quality of life, tend to take either a top-down or bottom-up approach.

Top-down approaches statistically analyse empirical data to investigate relationships between environmental impacts and social outcomes. Among the former are energy consumption, ecological- or carbon-footprints (Wackernagel and

Countries tend to achieve high social outcomes with lower energy use over time; the energy-consumption of countries with high social outcomes appears higher when a consumption-based perspective is taken, due to offshoring of high-energy industries; the levels of democracy present appear to have negligible effect on the energy-intensity of well-being. True Democracy and Capitalism

Rees, 1998),⁶⁰ and among the latter life expectancy (Dietz et al., 2012,⁶¹ Jorgenson and Dietz, 2015,⁶² Givens, 2018),⁶³ life satisfaction (Knight and Rosa, 2011),⁶⁴ composite indicators such as the Human Development Index (HDI) (Martínez and Ebenhack, 2008,⁶⁵ Steinberger and Roberts, 2010),⁶⁶ and baskets of indicators often inspired by the UN's Sustainable Development Goals (Lamb, 2016,⁶⁷ Lamb and Rao,

2015,68 O'Neill et al., 2018).69

Previous estimates of the energy consumption necessary to achieve, for example, a high HDI are wide-ranging – a HDI above 0.8 appears to require 30 to 100 + GJ/cap/yr in primary energy terms (Martínez and Ebenhack, 2008,⁷⁰ Steinberger and Roberts, 2010,⁷¹ Smil, 2005,⁷² Rao et al., 2019).⁷³ This range is unsurprising given the diversity of cultural, political, technological and climatic factors at play, however, useful points can still be made: Improvements in social outcomes with rising energy consumption become increasingly marginal, saturating above 100–150 GJ/capita/yr of primary energy (Arto et al., 2016);⁷⁴ countries tend to achieve high social outcomes with lower energy use over time (Steinberger and Roberts, 2010,⁷⁵ Jorgenson et al., 2014);⁷⁶ the energy-consumption of countries with high social outcomes appears higher when a consumption-based perspective is taken, due to offshoring of high-energy industries

63 - J.E. Givens: Ecologically unequal exchange and the carbon intensity of well-being, 1990–2011 – Environ. Sociol., 4 (2018), pp. 311-324

^{60 🗝} M. Wackernagel, W. Rees: Our Ecological Footprint: Reducing Human Impact on the Earth – New society publishers, BC (1998)

^{61 🕶} T. Dietz, E.A. Rosa, R. York: Environmentally efficient well-being: is there a Kuznets curve? – Appl. Geogr., 32 (2012), pp. 21-28

⁶² - A.K. Jorgenson, T. Dietz: Economic growth does not reduce the ecological intensity of human well-being – Sustainability Sci., 10 (2015), pp. 149-156

^{64 🕶} K.W. Knight, E.A. Rosa: The environmental efficiency of well-being: a cross-national analysis – Soc. Sci. Res., 40 (2011), pp. 931-949

⁶⁵ C.M. Martínez, B.W. Ebenhack: <u>Understanding the role of energy consumption in human development through the use of saturation phenomena</u> – Energy Policy, 36 (2008), pp. 1430-1435

⁶⁶ • J.K. Steinberger, J.T. Roberts: From constraint to sufficiency: the decoupling of energy and carbon from human needs, 1975–2005 – Ecol. Econ., 70 (2010), pp. 425-433

^{67 🔶} W.F. Lamb: Which countries avoid carbon-intensive development? – J. Cleaner Prod., 131 (2016), pp. 523-533

⁶⁸ • W.F. Lamb, N.D. Rao: <u>Human development in a climate-constrained world: what the past says about the future</u> – Global Environ. Change, 33 (2015), pp. 14-22

^{69 🕹} D.W. O'Neill, A.L. Fanning, W.F. Lamb, J.K. Steinberger: A good life for all within planetary boundaries – Nat. Sustainability, 1 (2018), pp. 88-95

⁷⁰ C.M. Martínez, B.W. Ebenhack: Understanding the role of energy consumption in human development through the use of saturation phenomena – Energy Policy, 36 (2008), pp. 1430-1435

⁷¹ \leftrightarrow J.K. Steinberger, J.T. Roberts: From constraint to sufficiency: the decoupling of energy and carbon from human needs, 1975–2005 – Ecol. Econ., 70 (2010), pp. 425-433

⁷² ↔ V. Smil: Energy at the Crossroads: Global Perspectives and Uncertainties – MIT press, Boston (2005

⁷³ • N.D. Rao, J. Min, A. Mastrucci: Energy requirements for decent living in India, Brazil and South Africa – Nat. Energy, 4 (12) (2019), pp. 1025-1032

⁷⁴ • I. Arto, I. Capellán-Pérez, R. Lago, G. Bueno, R. Bermejo: <u>The energy requirements of a developed world</u> – Energy Sustainable Dev., 33 (2016), pp. 1-13

⁷⁵ \leftrightarrow J.K. Steinberger, J.T. Roberts: From constraint to sufficiency: the decoupling of energy and carbon from human needs, 1975–2005 – Ecol. Econ., 70 (2010), pp. 425-433

⁷⁶ • A.K. Jorgenson, A. Alekseyko, V. Giedraitis: Energy consumption, human well-being and economic development in central and eastern European nations: a cautionary tale of sustainability – Energy Policy, 66 (2014), pp. 419-427

True Democracy and Capitalism

(Arto et al., 2016);⁷⁷ the levels of democracy present appear to have negligible effect on the energy-intensity of wellbeing (Mayer, 2017).⁷⁸

Studies exploring the ecological-intensity of well-being via other means – e.g. by relating greenhouse gas emissions or ecological footprint to well-being – offer both consistent and additional findings. Again, the ecological-intensity of well-being appears to be falling over time (Jorgenson, 2014),⁷⁹ but it's higher for higher incomes (Jorgenson and Dietz, 2015,⁸⁰ Jorgenson and Givens, 2015).⁸¹ Further, the relationship between inequality and carbon emissions is complex.

Although many countries provide good basic services and achieve some social outcomes with low emissions per capita, it's rare to find countries achieving good social outcomes across the board with relatively low emissions... none do so while remaining within planetary boundaries more broadly. Some suggest that inequality increases the carbonintensity of well-being (Jorgenson, 2015),⁸² particularly inequalities between countries (Rao and Min, 2018b).⁸³ Others suggest that reducing inequality within countries is likely to increase total carbon footprints in low-middle income countries (Grunewald et al., 2017);⁸⁴ the opposite relationship may exist in high-income countries (Hubacek

et al., 2017),⁸⁵ but this is not yet well understood. Finally, although many countries provide good basic services (e.g. widespread sanitation services) and achieve some social outcomes (life expectancy) with low emissions per capita (Lamb et al., 2014),⁸⁶ it's rare to find countries achieving good social outcomes across the board with relatively low emissions (Lamb, 2016).⁸⁷ Indeed, none do so while remaining within planetary boundaries more broadly (O'Neill et al., 2018).⁸⁸

The issue with top-down approaches, however, is they assume that relationships between social outcomes and

Far from cultivating well-being, consumption is often driven by factors such as private profit; intensive and locked-in social practices; employment-related stress and poor mental health; conspicuous- or luxury-consumption; or simply over-consumption in numerous forms. ecological impacts will remain broadly similar to those currently existing. Current socio-political organisation, economic provisioning systems, and the highly unequal wealth and income distributions that exist, all influence the efficiency with which energy- and resource-use supports human well-being; inefficiencies in the system tend to become embedded within the conclusions of top-

down modelling studies. Only rarely do studies look into reducing social inefficiencies that stem from consumption that

⁷⁷ • I. Arto, I. Capellán-Pérez, R. Lago, G. Bueno, R. Bermejo: <u>The energy requirements of a developed world</u> – Energy Sustainable Dev., 33 (2016), pp. 1-13

^{78 -} A. Mayer: Democratic institutions and the energy intensity of well-being: a cross-national study – Energy, Sustainability and Society, 7 (2017), p. 36

⁷⁹ - A.K. Jorgenson: Economic development and the carbon intensity of human well-being – Nat. Clim. Change, 4 (2014), p. 186

⁸⁰ • A.K. Jorgenson, T. Dietz: Economic growth does not reduce the ecological intensity of human well-being – Sustainability Sci., 10 (2015), pp. 149-156

⁸¹ A.K. Jorgenson, J. Givens: <u>The changing effect of economic development on the consumption-based carbon intensity of well-being, 1990–2008</u> PLoS ONE, 10 (2015), Article e0123920

⁸² • A.K. Jorgenson: Inequality and the carbon intensity of human well-being – J. Environ. Stud. Sci., 5 (2015), pp. 277-282

^{83 🔶} N.D. Rao, J. Min: Less global inequality can improve climate outcomes – Wiley Interdiscip. Rev.: Clim. Change, 9 (2018), Article e513

⁸⁴ • N. Grunewald, S. Klasen, I. Martínez-Zarzoso, C. Muris: <u>The trade-off between income inequality and carbon dioxide emissions</u> – Ecol. Econ., 142 (2017), pp. 249-256

[\]arg 🟳 K. Hubacek, G. Baiocchi, K. Feng, R. Muñoz Castillo, L. Sun, J. Xue: Global carbon inequality – Energy, Ecol. Environ., 2 (2017), pp. 361-369

⁸⁶ \leftrightarrow W.F. Lamb, J.K. Steinberger, A. Bows-Larkin, G.P. Peters, J.T. Roberts, F.R. Wood: Transitions in pathways of human development and carbon emissions – Environ. Res. Lett., 9 (2014), Article 014011

^{87 ⊷} W.F. Lamb: Which countries avoid carbon-intensive development? – J. Cleaner Prod., 131 (2016), pp. 523-533

^{88 🟳} D.W. O'Neill, A.L. Fanning, W.F. Lamb, J.K. Steinberger: A good life for all within planetary boundaries – Nat. Sustainability, 1 (2018), pp. 88-95

True Democracy and Capitalism

doesn't satisfy human needs, or even inhibits need satisfaction (Max-Neef, 1995,⁸⁹ Lamb and Steinberger, 2017,⁹⁰ Jackson and Marks, 1999).⁹¹ Far from cultivating well-being, consumption is often driven by factors such as private profit; intensive and locked-in social practices; employment-related stress and poor mental health; conspicuous- or luxury-consumption; or simply over-consumption in numerous forms (Gough, 2017).⁹²

Indeed, demand-side studies in general are rare (Creutzig et al., 2018).⁹³ In contrast, it is common for researchers to focus on the production-side by analysing the ecological benefits of increasing technological efficiencies. Seemingly positive solutions are often found, but technological trends are notoriously difficult to forecast. The emergence of game-changing innovations are hard to predict and, crucially, may work either for or against sustainability. For example, despite steady improvements in engine efficiency, passenger aircraft in the 2000s were only as efficient as those of the 1950s, due to the invention of jet engines in the interim and their widespread substitution for propeller-driven aircraft (Peeters et al., 2005).⁹⁴

Bottom-up approaches largely avoid these limitations. They work by compiling consumption inventories that include all that considered essential for humans' to live good lives, and estimating the ecological impacts of providing these. When building such models, the implicit influence of current socio-political configurations can be minimised; if one really wants to study, say, inequality or overconsumption, they must be explicitly built in. The flip-side is that such models tend towards underestimates. Essential goods or services are more likely to be omitted than double counted, and the ecological impacts of supply chains more likely to be truncated than incorrectly elongated (Fry et al., 2018).⁹⁵

An early bottom-up estimate was made by Goldemberg et al. (1985).⁹⁶ They compiled an inventory of activities across residential (cooking, food storage, etc.), commercial (floor space), transportation (private, public and freight), manufacturing (steel, cement, etc.) and agricultural (food) sectors. Together these were suggested to provide 'basic needs and much more', for only 30 GJ/cap/yr of final energy consumption annually. Most recently, Rao et al. (2019)⁹⁷ estimated that 12–24 GJ/cap of final energy consumption annually would be required to provide decent material living standards in India, Brazil and South Africa. They used a similar inventory to Goldemberg et al., but included modern communication and information technologies, education, healthcare and water provision (among other things) and, in addition, made robust estimates of indirect energy use. Another recent estimate by Grubler et al. (2018)⁹⁸ offered values

⁸⁹ • M. Max-Neef: Economic growth and quality of life: a threshold hypothesis – Ecol. Econ., 15 (1995), pp. 115-118

^{90 -} W.F. Lamb, J.K. Steinberger: Human well-being and climate change mitigation – Wiley Interdiscip. Rev. Clim. Change, 8 (2017), Article e485

⁹¹ \leftrightarrow T. Jackson, N. Marks: <u>Consumption, sustainable welfare and human needs—with reference to UK expenditure patterns between 1954 and 1994</u> – Ecol. Econ., 28 (1999), pp. 421-441

^{92 -} I. Gough: <u>Recomposing consumption: defining necessities for sustainable and equitable well-being</u> – Philos. Trans. R. Soc. A, 375 (2017), p. 20160379

^{93 -} F. Creutzig, J. Roy, W.F. Lamb, I.M.L. Azevedo, W. Bruine De Bruin, H. Dalkmann, O.Y. Edelenbosch, F.W. Geels, A. Grubler, C. Hepburn, E.G. Hertwich, R. Khosla, L. Mattauch, J.C. Minx, A. Ramakrishnan, N.D. Rao, J.K. Steinberger, M. Tavoni, D. Ürge-Vorsatz, E.U. Weber: Towards demand-side solutions for mitigating climate change – Nat. Clim. Change, 8 (2018), pp. 260-263

^{94 -} P. Peeters, J. Middel, A. Hoolhorst: Fuel Efficiency of Commercial Aircraft: An Overview of Historical and Future Trends – National Aerospace Laboratory, the Netherlands (2005

^{95 -} J. Fry, M. Lenzen, Y. Jin, T. Wakiyama, T. Baynes, T. Wiedmann, A. Malik, G. Chen, Y. Wang, A. Geschke, H. Schandl: Assessing carbon footprints of cities under limited information – J. Cleaner Prod., 176 (2018), pp. 1254-1270

^{96 -} J. Goldemberg, T.B. Johansson, K.N.R. Amulya, R.H. Williams: Basic needs and much more with one Kilowatt per Capita – Ambio, 14 (1985), pp. 190-200

^{97 🔑} N.D. Rao, J. Min, A. Mastrucci: Energy requirements for decent living in India, Brazil and South Africa – Nat. Energy, 4 (12) (2019), pp. 1025-1032

⁹⁸ ← A. Grubler, C. Wilson, N. Bento, B. Boza-Kiss, V. Krey, D.L. Mccollum, N.D. Rao, K. Riahi, J. Rogelj, S. De Stercke, J. Cullen, S. Frank, O. Fricko, F. Guo, M. Gidden, P. Havlík, D. Huppmann, G. Kiesewetter, P. Rafaj, W. Schoepp, H. Valin: A low energy demand scenario for meeting the 1.5 °C target and sustainable development goals without negative emission technologies – Nat. Energy, 3 (2018), pp. 515-527

for a global Low-Energy Demand scenario, which lie within the range of the above. Similar studies have looked into carbon emissions (Mundaca et al., 2019,⁹⁹ Akenji et al., 2019).¹⁰⁰ By taking a bottom-up approach here, our work builds upon the tradition pioneered by Goldemberg et al.

Two types of energy

Our choice to consider final energy is novel but essential: final energy better reflects the energy requirements of society and economic activity (Alessio et al., 2020).¹⁰¹ Primary energy assumes a portfolio of existing energy sources, whose

If a country's current energy footprint is greater than what we estimate is required for decent living standards, this does not imply that decent living standards are being met throughout the population.

losses during conversion into final energy – e.g. coal into electricity, or oil into gasoline – are included in total consumption. However, renewable energy sources like solar or wind have no primary energy equivalent, and this means arbitrary assumptions are often made when

True Democracy and Capitalism

comparing them to fossil fuels. Such misleading comparisons can leave fossil fuels appearing to outperform renewables (Brockway et al., 2019).¹⁰² These issues are avoided by focusing on final energy.

However, a discussion of final and primary energy leads to another important point, namely, that final energy is still a means to an end – one stage in the energy cascade (Kalt et al., 2019).¹⁰³ Final energy can provide energy services – such as heating or mobility – which themselves provide benefits – such as comfort and social participation. These benefits may then satisfy different aspects of human well-being. Final energy is thus closer than primary energy to the services that can satisfy basic needs.

This leads us to our last crucial point: In the results herein, if a country's current energy footprint is greater than what we estimate is required for decent living standards, this does not imply that decent living standards are being met throughout the population. How efficiently each country's current final energy use is being transformed into energy services, how aligned these services are with benefits that satisfy human needs, and how (un)equally benefits are distributed among populations, are questions beyond the scope of our work – despite their importance.

Methods and Data

Approach

Our bottom-up modelling approach involves combining activity-levels and associated energy intensities for each material requirement or service, and then summing across all DLS dimensions to obtain estimates of total final energy consumption. Activity-levels are such things as meters squared of housing per person, lumens of lighting per household per day, kilograms of new clothing per person per year, litres of hot water per person per day. By deriving energy intensities in the same units, we can then perform simple upscaling to obtain energy use for each DLS dimension. For example, we have the direct energy intensity of heating and cooling, as well as for the embodied energy of construction,

^{99 ↔} L. Mundaca, D. Ürge-Vorsatz, C. Wilson: Demand-side approaches for limiting global warming to 1.5 °C – Energy Effic., 12 (2019), pp. 343-362 100 ↔ L. Akenji, M. Lettenmeier, R. Koide, V. Toiviq, A. Amellina: 15-degree Lifestyles: Targets and Options for Reducing Lifestyle Carbon Footprints – Institute for Global Environmental Strategies (2019)

¹⁰¹ \leftrightarrow M. Alessio, M. Jihoon, U.-L. Arkaitz, R. Narishima: A framework for modelling consumption-based energy demand and emissions pathways – Environ. Sci. Technol., 54 (2020), pp. 1799-1807

^{102 -} P.E. Brockway, A. Owen, L.I. Brand-Correa, L. Hardt: Estimation of global final-stage energy-return-on-investment for fossil fuels with comparison to renewable energy sources – Nat. Energy, 4 (2019), pp. 612-621

¹⁰³ ← G. Kalt, D. Wiedenhofer, C. Görg, H. Haberl: <u>Conceptualizing energy services: a review of energy and well-being along the Energy Service</u> <u>Cascade</u> – Energy Res. Social Sci., 53 (2019), pp. 47-58

True Democracy and Capitalism

both recorded in MJ/m2 of residential floor-space; these can simply be multiplied by the m2/person activity-levels to obtain per capita energy requirements.

Obtaining appropriate activity-levels and energy intensities requires harvesting and assimilating a diversity of data, and we offer a high-level summary of our values in <u>Table 2</u>. For energy intensities, we draw upon a broad range of data from (among other things) life cycle assessment, input–output analysis, industrial ecology and state-of-the-art engineering work to derived values representative of the most efficient technologies available. For activity-levels, we aim to determine what is appropriate for sufficiency – what consumption is required for decent living, but no more. Rao and Min (2018a)¹⁰⁴ suggest first approximations for each DLS category, but these aren't intended to input directly into an energy model – they aren't always in quantitative form nor suitably fine-grained when they are. We thus make various modifications and add further details where necessary. For example, Rao and Min offer an estimate of total mobility requirements per person (7000 km/year), but we must disaggregate this into various modes of transport. They also state requirements for healthcare and education in terms of minimum expenditures and physicians and teachers per 1000 persons; from this, we determine the floor-space of hospitals and schools each country requires, then estimate the direct and embodied energy use of these buildings and all related equipment and activities. An additional assumption we make is that the average household size is four persons for all countries; this feeds into calculations where activity levels are defined relative to the number of households, e.g. our assumption of one laptop per household.

For both activity-levels and energy intensities, we implement regional variations where this is appropriate and we have data sufficient to do so. For example, daily food-calorie requirements vary with age, peaking in a person's early twenties, so we make countries' average per-capita food requirements vary with age composition. Similarly, we make educational floor-space requirements dependent upon the fraction of a countries population that is 5–19 years of age (but note that our energy intensities are not influenced by variations in activity-levels). Other aspects of our modelling of regional-variation are particularly novel:

- For mobility, rather than using a fixed activity-level across all countries, we make passenger kilometres/capita a function of adjusted population densities national population densities scaled up by considering what fraction of land is populated. These therefore better represent the densities that people experience. Adjusted densities also feed into our mode share calculations, which include an (ambitious) combination of non-motorised transport, public transport, and limited private vehicle use and air travel.
- For thermal comfort, the amount of floor space per person is fixed across all countries. For energy intensities, however, we integrate (i) data describing direct energy requirements per unit floor space, which vary with the number of cooling (CCD) and heating (HDD) degree days experienced, with (ii) national, population-weighted data for CCD and HDD, and forecasts of how these may vary under future climate change. We do this for residential, healthcare and public buildings.
- For water supply, we begin with current energy intensities of water supply infrastructure the MJ required per litre supplied to households and estimate regional variability by considering current water scarcity. We then use forecasts of climate change- and population growth-induced water stress to estimate how these intensities of water supply may change in different countries.

^{104 🗠} N.D. Rao, J. Min: Decent living standards: material prerequisites for human wellbeing – Soc. Indic. Res., 138 (2018), pp. 225-244

Table 2. Inventory of the prerequisites for Decent Living Standards (DLS) (Rao and Min, 2018a) alongside activity levels and direct and indirect energy intensities of products, supply chains and infrastructure. Numbers are rounded and presented as ranges where there are variations between countries or sub-activities (e.g. different transport modes). Approximate percentage increases for Higher Demand (HD) and Less Advanced Technology (LAT) scenarios are included where possible, but these cannot always be summarised in this high-level format. Full details can be found in the Supplementary materials.

DLS dimensions & services	Activity levels		Energy Intensities		
	Default levels	HD	Default (direct)	Default (indirect)	LAT
Nutrition					
Food	2000–2150 kcal/cap/day	15 %	-	3 KJ/kilocalorie	30 %
Cooking appliances	1 cooker/household	-	0.8 KJ/kilocalorie	1 GJ/app+	50 %
Cold Storage	1 fridge-freezer/household	-	0.44 GJ/app+/yr	4 GJ/app+	-
Shelter & living conditions					
Household size	4 persons/household	-25 %	-	_	-
Sufficient space	15 meters2 floor-space/cap*	80 %	-	2–4 GJ/m2	100 %
Thermal comfort	15 meters2 floor-space/cap*	80 %	20–60 MJ/m2/yr	_	300 %
Illumination	2500 lm/house; 6 hrs/day	100 %	150 lm/W	14 MJ/house/yr	-
Hygiene					
Water supply	50 Litres/cap/day	100 %	-	5–17 KJ/L	-
Water heating	20 Litres/cap/day	100 %	96–220 KJ/L	-	50 %
Waste management	Provided to all households**	-	-	180 MJ/cap/yr	200 %
Clothing		1			
Clothes	4 kg of new clothing/year	33 %	-	100 MJ/kg	-
Washing facilities	80 kg of washing/year	33 %	2.4 MJ/kg	2 GJ/app+	-
Healthcare Hospitals	200 meters2 floor-space/bed	50 %	410–560 MJ/m2/yr	14–23 GJ/m2	130 %
Education Schools	10 meters2 floor-space/pupil	50 %	100–130 MJ/m2/yr	4.5–7.5 GJ/m2	150 %
Communication & information					
Phones	1 phone/person over 10yrs old	_	28 MJ/phone/yr	110 MJ/phone	30 %
Computers	1 laptop/household	_	220 MJ/laptop/yr	3 GJ/laptop	30 %
Networks & data	High**	100 %	_	~0.4 GJ/cap/yr	-
Mobility					
Vehicle production	Consistent with pkm travelled**	-	_	0.1–0.3 MJ/pkm	50 %
Vehicle propulsion	5000–15,000 pkm/cap/year	3–10%	0.2–1.9 MJ/pkm++	-	100 %
Infrastructure	Consistent with pkm travelled**	_	_	0.1–0.3 MJ/pkm	_

* Assuming 10 m2 of living space/capita plus 20 m2 of communal space/house; with the latter divided by four, we get 15 m2/capita overall. ** Activity levels here are not straightforward to define.

+ 'App' refers to 'appliance'.

++ Large range as this covers different modes (public transport to passenger flights).

As mentioned, our aim is to consider the theoretical situation of radically lowered demand and state-of-the-art technologies. Data for the latter are derived from numerous sources, but they must sometimes be modified to be consistent with activity-levels. For example, for the energy intensity of private transport, we begin with energy intensities

Within the current economic paradigm, there are serious barriers that would require major technological transfer programmes from the Global North. Further, the unjust distributional impacts that accompany the rollout of high-tech, ecological solutions are well known. Hybrid cars and rooftop solar technologies are typically only accessible to wealthier citizens, who are thus the ones that benefit from any associated tax breaks and subsidies. True Democracy and Capitalism

for highly advanced vehicles, based on what Cullen et al. (2011)¹⁰⁵ suggest is practically achievable in the longterm. Then, however, we slightly retreat upon these assumptions to allow for the larger vehicles needed to achieve the high occupancy rates we assume. Note, 'achievable' here refers to engineering considerations – we say nothing of the affordability of such technologies and, within the current economic paradigm, there are serious barriers that would require major technological transfer programmes from the Global North (among

numerous other things). Further, the unjust distributional impacts that accompany the rollout of high-tech, ecological solutions are well known. For example, hybrid cars and rooftop solar technologies are typically only accessible to wealthier citizens, who are thus the ones that benefit from any associated tax breaks and subsidies.

When presenting the results, we show for comparison recently published estimates of final energy consumption in 2011 derived from the input–output data of the Global Trade Analysis Project (GTAP), for 119 countries (Oswald et al., 2020).¹⁰⁶ This gives an indication of current energy use as compared to the minimum our model suggests is possible while still providing decent living, but the disclaimer given in Section <u>"Two types of energy"</u> must again be noted.

Infrastructure timescales

How we incorporate long-term infrastructure requires clarification. Our assumption of state-of-the-art technologies raises the question of how to account for currently built infrastructures that have lifetimes extending beyond 2050, and when such infrastructures should be replaced prematurely by more efficient ones. Housing is a salient example. Much current housing has a lifetime beyond 2050, so retrofitting is more likely than replacement with advanced new buildings, despite the latter having lower direct-energy requirements. However, estimating what fraction of housing in each country would be more appropriate to retrofit than rebuild would be an enormous task; this would require estimating the remaining lifetimes of buildings and applying a time-threshold to this to determine when, from a full lifecycle perspective, retrofitting is most appropriate, and forecasting all of this for 2050. We thus assume the global housing stock is fully replaced via a worldwide deployment of advanced new buildings with very low heating and cooling energy requirements – and we make the same assumption for other buildings (educational, healthcare and commercial). This implies that a significant amount of infrastructure is replaced prematurely, which could be considered unrealistic. However, we account for all the energy embodied in these new infrastructures, distributing it over buildings' lifetimes (note also that we account for energy relating to lighting and appliances separately). And we show below that had we assumed advanced retrofits instead the results would change only negligibly. Our results thus offer a steady-state picture of future energy-consumption for 2050 in a world where advanced technologies are fully deployed and replaced when necessary. There remains a valid concern that if the entire global building stock were somehow replaced over a period of two or three years, there would be a huge spike in energy use and carbon emissions. However, these temporal dynamics are beyond our current scope.

^{1&}lt;sup>105</sup> \leftrightarrow J.M. Cullen, J.M. Allwood, E.H. Borgstein: <u>Reducing energy demand: what are the practical limits</u>? – Environ. Sci. Technol., 45 (2011), pp. 1711-1718

¹⁰⁶ · Y. Oswald, A. Owen, J. Steinberger: Large inequality in international and intranational energy footprints between income groups and across consumption categories – Nat. Energy, 5 (2020), pp. 231-239

True Democracy and Capitalism

To demonstrate the difference between new and retrofit housing, a back-of-the-envelope calculation is insightful. With full deployment of advanced buildings, we calculate global annual energy use for thermal comfort in residential buildings to be ~5 EJ; equal to the indirect energy used in their construction. Data from GBPN (2012)¹⁰⁷ suggests that direct energy use for thermal comfort in advanced retrofit buildings is ~40% higher than in the advanced new buildings we assume. Retrofitting would thus lead to a ~2 EJ increase in direct energy annually, but if it also reduced indirect energy use in construction by, say, 80%, this would mean ~4 EJ less indirect energy – a net decrease of ~2 EJ. This equates to <2% reduction in total global energy use, implying that the effects of assuming advanced new builds rather than advanced retrofits is negligible.

Scenarios

We are most interested in our lowest energy-consumption scenario (Decent Living Energy; DLE), but also consider three others: one with increased (but still relatively low) demand (Higher Demand; HD), one without the same technological ambition (Less Advanced Technology; LAT) and one with these rolled-back assumptions combined (HD-LAT). Our wording here is chosen carefully: all of these scenarios, HD-LAT included, can be considered to be ambitious. An indication of the percentage increases in activity-levels and energy intensities across DLS dimensions in the scenarios is given in <u>Table 2</u>, but it should be emphasised that these are only indicative, as the changes are not readily summarised at this high-level. For example, one aspect of the HD scenario is a decrease in average household size (from 4 to 3 people), which has impacts across numerous consumption sectors – appliance and computer ownership levels, residential floor area and hence energy related to thermal comfort, lighting and construction. In other cases, the model is changed at a relatively low level in multiple ways, which combine to affect one DLS aspect. For example, in the HD scenario, we increase the consumption of animal products and the quantity of food waste generated, which together modify the energy input per kilocalorie of food

consumed. Full details are given in the Supplementary Materials.

Results

Global energy use for decent living

When we compare current final energy consumption across the 119 GTAP countries with our estimates of final energy for decent living (DLE), we find the vast majority (~100) of countries are living in surplus (Fig. 1). Those living in deficit all have a GDP/ cap less than \$6000 PPP. The range of DLE thresholds is small at 13–18.4 GJ/cap/yr of final energy consumption across all 119 countries, while current consumption ranges from under 5 GJ/cap/yr to over 200 GJ/cap/yr – a level of inequality that mirrors environmental pressures more broadly (Teixido-

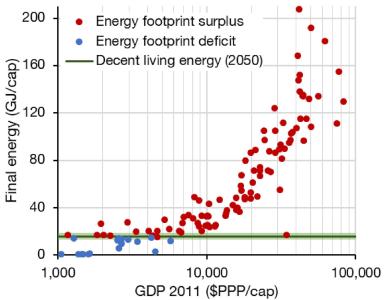


Fig. 1. . Final energy consumption for 119 countries in the GTAP database calculated using input–output analysis, for 2011. For the same countries, *decent living energy* estimates are shown. Visually, there is little variation: DLE estimates all lie within the narrow green band, where the dark line is the global mean. Note the logarithmic scaling on the *x*-axis *only*.

¹⁰⁷GBPN: Tool for Building Energy Performance Scenarios. Centre for Climate Change and Sustainable Energy Policy (3CSEP) – Central European University (2012)

Our DLE estimates are remarkably low... This is over 60% lower than current consumption (despite the 2050 population being ~30% larger than the present day); 75% below the International Energy Agency's 2050 Stated Policies estimate and 60% below their most ambitious Sustainable Development Scenario. Figueras et al., 2016).¹⁰⁸ Current consumption increases with GDP, while DLE (unsurprisingly) bears no relationship – it's instead determined by climatic and demographic factors (heating & cooling degree days, age profiles, living densities, etc). More specifically, regional variations in activity levels (mostly mobility levels) and energy intensities (mostly thermal comfort and water heating in residential buildings) make roughly equal contributions to the overall range of our

True Democracy and Capitalism

DLE values. Where GDP/cap >\$15,000, current energy consumption is ~2 to ~15 times larger than DLE. However, note again that this doesn't imply decent living standards in these places are currently being provided to everyone.

In comparison to other studies estimating future final energy demand, our DLE estimates are remarkably low, with global

None of these studies attempt – as we do – to minimise energy-use without sacrificing decent living. In the IEA's Sustainable Development Scenario the focus is on fulfilling the UN's Sustainable Development Goals, but the IEA do not consider capping the energy use of the wealthiest global consumers... Thus, their 2050 SDS final energy consumption being douple ours – and leaves the Goal of reducing inequality unchecked.

Energy Demand scenario of Grubler et al. (2018) (245 EJ).¹¹⁰

Note, however, that none of these studies attempt – as we do – to minimise energy-use without sacrificing decent living. In the IEA's Sustainable Development Scenario, for example, the focus is on fulfilling the United Nations Sustainable Development Goals by increasing things like electricity access and availability of clean cooking stoves to 100%, globally; this effectively puts a floor on consumption, but the IEA do not consider capping the energy use of the wealthiest global consumers. This is a primary reason for their 2050 SDS final energy consumption being douple ours – and, incidentally, it leaves the 10th Sustainable Development Goal of reducing inequality unchecked. final energy consumption at 149 EJ in 2050 (Fig. 2; or 15.3 GJ/cap/yr). This is over 60% lower than current consumption (despite the 2050 population being ~30% larger than the present day); 75% below the International Energy Agency's 2050 Stated Policies estimate – the expected trajectory if todays' commitments are met and maintained – and 60% below their most ambitious Sustainable Development Scenario (IEA, 2019b);¹⁰⁹ and around 40% lower than 2050 consumption in the Low

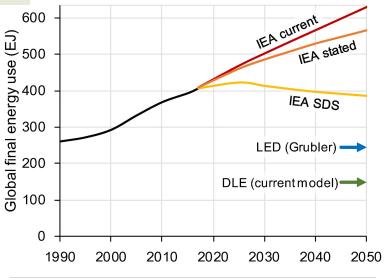


Fig. 2. Global final energy consumption, including: historical data and projections from the IEA's *Current Policies, Stated Policies* and *Sustainable Development* (SDS) scenarios; the *Low Energy Demand* estimate of Grubler et al. for 2050; and the current DLE estimate for 2050.

<sup>108
&</sup>lt;sup>OB</sup> J. Teixido-Figueras, J. Steinberger, F. Krausmann, H. Haberl, T. Wiedmann, G. Peters, J. Duro, T. Kastner: International inequality of environmental pressures: decomposition and comparative analysis – Ecol. Ind., 62 (2016), pp. 163-173

¹⁰⁹ ← IEA Key World Energy Statistics 2019: OECD/IEA, Paris, France (2019)

¹¹⁰ A. Grubler, C. Wilson, N. Bento, B. Boza-Kiss, V. Krey, D.L. Mccollum, N.D. Rao, K. Riahi, J. Rogelj, S. De Stercke, J. Cullen, S. Frank, O. Fricko, F. Guo, M. Gidden, P. Havlík, D. Huppmann, G. Kiesewetter, P. Rafaj, W. Schoepp, H. Valin: <u>A low energy demand scenario for meeting the 1.5 °C target</u> and sustainable development goals without negative emission technologies – Nat. Energy, 3 (2018), pp. 515-527

True Democracy and Capitalism

Energy use by decent living-sector

Globally, the major contributors to DLE are nutrition and mobility at \sim 3 GJ/cap/yr each (<u>Fig. 3</u>). Nutrition itself is mostly comprised of food production and supply (we don't include the energy contained in food itself), with only 0.5 GJ/cap/yr

involved in cooking and cold storage. For mobilityrelated energy use, 70% is for manufacturing and powering vehicles, with the remaining 30% used for producing transport networks' infrastructure (e.g. railways, roads). Shelter & living conditions, healthcare and hygiene make contributions of ~1.5 GJ/cap/yr each, globally. For the former, the contributions of constructing houses and thermal comfort are roughly equal, while energy used for lighting is comparatively negligible. Healthcare includes construction of, and services provided by, hospitals, along with broader activities like medications and emergency transport. For hygiene, household water heating dominates, accounting for 1 GJ/cap/yr, with the remaining 0.5 GJ/cap/yr split equally between household water supply and waste management (i.e. all the energy used by these sectors, including construction of infrastructure). The energy use associated with clothing (both production

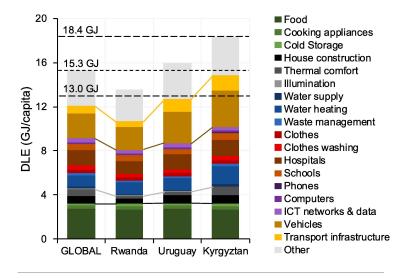


Fig. 3. Decent living energy per capita (in final energy), broken down into consumption categories and subcategories detailed in <u>Table 1</u>. Our global average is shown alongside data for Rwanda, Uruguay and Kyrgyzstan. Dashed lines indicating our global mean, minimum and maximum are also shown (15.3, 13.0, and 18.4 GJ/cap/yr, respectively).

and washing of clothes), education (construction of and energy used by schools) and communication & information (phones, laptops and the infrastructure requires for networks and data centre operations) together comes to a global average of nearly 2 GJ/cap/yr. The remaining 3 GJ/cap/yr (shown as other) is associated with power supply infrastructure and retail and freight activities, which have not been allocated to consumption categories.

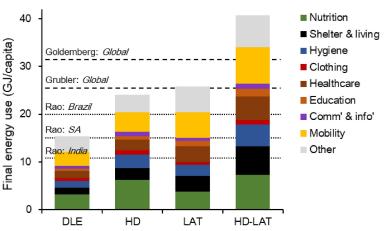
Sector-breakdowns of DLE are also shown for Rwanda, where the regional specificity of our model estimates low mobility and thermal-comfort requirements; Uruguay, where mobility requirements are high and thermal comfort requirements average; and Kyrgyzstan, where both mobility and thermal comfort requirements are high. Accordingly, the DLE threshold for Rwanda is estimated to be 13.5 GJ/cap/yr, with Uruguay at 16 GJ/cap/yr and Kyrgyzstan at 18.4 GJ/ cap/yr. Inter-country variations are found in various other categories besides mobility and thermal comfort, due to factors like population age structures, which affects educational requirements and food-intakes; the assumed availability of low-energy building materials (i.e. timber as an alternative to steel); and the energy-intensity of water supply, which we assume depends upon scarcity (or abundance) of local supply. However, the influence of these factors is generally small or negligible overall.

Higher energy-use scenarios

Finally, we consider the impacts of rolling-back the ambitions assumed in calculating our DLE thresholds (Fig. 4) to levels that are still relatively ambitious, but less so than the DLE case. In the Higher Demand scenario, energy use jumps 40% – from ~15 GJ/cap to ~24 GJ/cap – due to relaxation of various DLE assumptions. These include, among other things, a decrease in average household size (from 4 to 3); increased consumption of water and animal-based foods; more food waste; greater floor-space per capita in all building types; increased flying as well as a shift in mobility away from public and active transport towards private vehicles; decreased clothing lifetimes; and increased ICT network

activity. The consumption-sector undergoing the largest increase is nutrition, due largely to both increased waste and consumption of animal-products (despite the latter still contributing <20% to food intake on a kcal basis). In relative terms, the energy use associated with all other categories also increases significantly, normally by 50–100%, although the increases in mobility-related energy are slightly lower, at ~30%.

In the Less Advanced Technology scenario, globallyaveraged energy use rises a similar amount above the DLE case, this time to 26 GJ/cap (Fig. 4). This is due to our increasing energy intensities in various parts of the model, e.g., for both in-use and constructionrelated energy for all types of buildings; household water-heating systems; food supply chains and



True Democracy and Capitalism

Fig. 4. . Globally averaged *decent living energy* per capita in 2050 and three scenarios with rolled-back ambition, i.e. *higher demand* (HD), *less advanced technologies* (LAT), and *higher demand and less advanced technologies* together (HD-LAT). Thresholds for energy use from other scenarios are also shown, as described in the text. Note, SA = South Africa.

processing facilities; vehicles' direct energy use and that required for production of vehicles and transport infrastructure; and for the energy intensity of producing renewable energy infrastructure. The sectors contributing the most to this rise above DLE levels are mobility, residential buildings and healthcare. Rises in other sectors are less significant in absolute terms.

When the assumptions of the HD and LAT scenarios are applied together in a single model run (HD-LAT), globallyaveraged energy use rises to ~40 GJ/cap, thus exceeding the 32 GJ/cap calculated by Goldemberg et al. (1985).¹¹¹ However, even this rolled-back scenario gives just under 400 EJ of final energy use globally in 2050 – equal to the IEA's Sustainable Development Scenario (<u>Fig. 2</u>).

Note that the results of these scenarios are similar to those in the sensitivity test we present in the Supplementary Materials. There, perturbing our activity-level assumptions – by increasing residential and public buildings' floor space (by 100% and 50%, respectively), consumption of animal products, and overall mobility levels (by 50%) while decreasing the share of public transport, etc. – leaves DLE at around the same level as the HD scenario. Similarly, perturbing our intensity assumptions raises DLE to a similar level as the LAT scenario. We refer the reader to the Supplementary Materials for information upon the sensitivity to individual parameters.

Discussion and Conclusions

What can be made from these results? First, we can reiterate what has been suggested by countless other authors: high-

High-quality, low-energy housing, widespread public transport, and diets low in animalbased foods are globally important issues for sustainability ambitions. quality, low-energy housing, widespread public transport, and diets low in animal-based foods are globally important issues for sustainability ambitions. In other words, demand-side solutions are an essential part of staying within planetary boundaries

¹¹¹ \leftrightarrow J. Goldemberg, T.B. Johansson, K.N.R. Amulya, R.H. Williams: Basic needs and much more with one Kilowatt per Capita – Ambio, 14 (1985), pp. 190-200

True Democracy and Capitalism

(Creutzig et al., 2018).¹¹² However, the perspective of the current work is a global, big-picture one, and it focuses

To avoid catastrophic ecological collapse, it is clear that drastic and challenging societal transformations must occur at all levels, from the individual to institutional, and from supply through to demand.... the current work offers a response to the clichéd populist objection that environmentalists are proposing that we return to living in caves. With tongue firmly in cheek, the response roughly goes 'Yes, perhaps, but these caves have highly-efficient facilities [for everything]. exclusively on final energy consumption. The results are thus of limited use for guiding specific local and national actions to reduce ecological impacts effectively and holistically. Consequently, further work applying bottom-up modelling to specific local contexts – following Rao et al. (2019)¹¹³ – would be valuable. To suggest where consumption can be reduced most effectively, it would then be useful to take current energy consumption data and distinguish so far as is possible luxury, wasteful, and sufficiency

based consumption (Gough, 2017,¹¹⁴ Shue, 1993¹¹⁵) – disaggregating the latter to needs-based consumption categories, and considering trade-offs and synergies between dimensions of social and ecological sustainability.

What the current work does offer are answers to broader questions. To avoid catastrophic ecological collapse, it is clear that drastic and challenging societal transformations must occur at all levels, from the individual to institutional, and from supply through to demand. From an energy-use perspective, the current work suggests that meeting these challenges does not, in theory, preclude extending decent living standards, universally, to a population of ~10 billion. Decent living is of course a subjective concept in public discourse. However, the current work offers a response to the clichéd populist objection that environmentalists are proposing that we return to living in caves. With tongue firmly in cheek, the response roughly goes 'Yes, perhaps, but these caves have highly-efficient facilities for cooking, storing food and washing clothes; low-energy lighting throughout; 50 L of clean water supplied per day per person, with 15 L heated

Incrementalist propositions along the lines of Green growth and green consumerism are inadequate. The ideals of sufficiency, material thresholds and economic equality that underpin the current modelling are incompatible with the economic norms of the present.

to a comfortable bathing temperature; they maintain an air temperature of around 20 °C throughout the year, irrespective of geography; have a computer with access to global ICT networks; are linked to extensive transport networks providing ~5000–15,000 km of mobility per person each year via various modes; and are also served by substantially larger caves where universal healthcare is available and others that provide

education for everyone between 5 and 19 years old.' And at the same time, it is possible that the amount of people's lives that must be spent working would be substantially reduced.

However, the current work has entirely avoided the most difficult question: how could we get from the current global situation of vast inequalities, excess and inefficient energy-use to one where decent living standards are provided universally and efficiently (Pirgmaier, 2020)?¹¹⁶

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^{112 -} F. Creutzig, J. Roy, W.F. Lamb, I.M.L. Azevedo, W. Bruine De Bruin, H. Dalkmann, O.Y. Edelenbosch, F.W. Geels, A. Grubler, C. Hepburn, E.G. Hertwich, R. Khosla, L. Mattauch, J.C. Minx, A. Ramakrishnan, N.D. Rao, J.K. Steinberger, M. Tavoni, D. Ürge-Vorsatz, E.U. Weber: Towards demand-side solutions for mitigating climate change – Nat. Clim. Change, 8 (2018), pp. 260-263

 ¹¹³ A. N.D. Rao, J. Min, A. Mastrucci: Energy requirements for decent living in India, Brazil and South Africa – Nat. Energy, 4 (12) (2019), pp. 1025-1032
 ¹¹⁴ J. Gough: Recomposing consumption: defining necessities for sustainable and equitable well-being – Philos. Trans. R. Soc. A, 375 (2017), p.

^{115 -} H. Shue: Subsistence emissions and luxury emissions – Law Policy, 15 (1993), pp. 39-60

¹¹⁶ Pirgmaier, E. 2020. Consumption corridors, capitalism and social change. [Forthcoming].

True Democracy and Capitalism

The current work has little to say here in the way of specifics, but there are some things that can be said with more certainty. Although technological progress and individual-level change are essential parts of a solution to ecological breakdown, incrementalist propositions along the lines of green growth and green consumerism are inadequate (Bailey et al., 2011,¹¹⁷ Webb, 2012¹¹⁸). The ideals of sufficiency, material thresholds and economic equality that underpin the current modelling are incompatible with the economic norms of the present, where unemployment and vast inequalities are systematic requirements, waste is often considered economically efficient (due to brand-protection, planned obsolescence, etc.) and the indefinite pursuit of economic growth is necessary for political and economic stability.

The challenges of changing this trajectory shouldn't be understated (Semieniuk and Yakovenko, 2020).¹¹⁹ In the Global

What sort of political-economy could create a world with both low throughput and high livings standards and the levels of equality that achieving these requires? What sort of culture would accept and support the necessary policies and institutions? Where are potential leverage points for moving towards such changes?

North, the trends towards sufficiency-levels of consumption that exist – such as Transition Towns and the minimalism movement – are notoriously middle class and white, and are the exception rather than the norm (Aiken, 2012).¹²⁰ In the Global South, consumption of the upper-classes has leapt well beyond sufficiency levels, while hundreds of millions remain left in poverty. This leaves crucial questions for future researchers to address: What sort of political-economy could

create a world with both low throughput and high livings standards and the levels of equality that achieving these requires? What sort of culture would accept and support the necessary policies and institutions? Where, from the individual- to institutional-level, are potential leverage points for moving towards such changes (Pirgmaier, 2020,¹²¹ Brand-Correa et al., 2020)?¹²²

All this is not to mention that provision of the material living standards we have considered does not guarantee that every person will live a good life. Many other factors can adversely and unavoidably affect physical and mental health; as philosophers have pointed out for millennia – back to the Buddha and beyond – even when material living standards are high, human well-being can be elusive.

To finish more positively, however, a comparison of our estimate of the energy required for decent living with projections of the energy supplied by non-fossil sources offers grounds for optimism. Currently, only 17% of global final energy consumption is from non-fossil fuel sources (IEA, 2019a).¹²³ But in absolute terms this is nearly 70 EJ, and hence nearly 50% of our DLE estimate for 2050 of 149 EJ. Indeed, by 2050, even in the IEA's Stated Policies scenario, ~130 EJ of final energy is provided by non-fossil-based sources – very close to the DLE requirement of 149 EJ. That non-fossil energy sources could meet our DLE requirements, even under business-as-usual, is highly significant.

 ¹¹⁷ → I. Bailey, A. Gouldson, P. Newell: Ecological modernisation and the governance of carbon: a critical analysis – Antipode, 43 (2011), pp. 682-703
 ¹¹⁸ → J. Webb: Climate change and society: the chimera of behaviour change technologies – Sociology, 46 (2012), pp. 109-125

^{119 -} G. Semieniuk, V.M. Yakovenko: Historical evolution of global inequality in carbon emissions and footprints versus redistributive scenarios – J. Cleaner Prod., 264 (2020), Article 121420

^{120 🕹} G. Aiken: Community transitions to low carbon futures in the Transition Towns Network (TTN) – Geogr. Compass, 6 (2012), pp. 89-99

^{121 -&}gt; Pirgmaier, E. 2020. Consumption corridors, capitalism and social change. [Forthcoming].

^{122 -} L. Brand-Correa, G. Mattioli, W. Lamb, J. Steinberger: Understanding and tackling the escalating energy requirements of need satisfaction Sustainability: Sci., Pract. Policy (2020) [in press]

¹²³ ← IEA: World Energy Outlook 2019 – OECD/IEA, Paris (2019)

True Democracy and Capitalism

Overall then, the present work is consistent with long-standing arguments that the economic and socio-political changes

The economic and socio-political changes necessary to address the magnitude of present ecological challenges are enormous, while the technological solutions already exist. What we add is that the material sacrifices are, in theory, far smaller than many popular narratives imply. necessary to address the magnitude of present ecological challenges are enormous, while the technological solutions already exist. What we add is that the material sacrifices are, in theory, far smaller than many popular narratives imply. And quite the opposite is true for the ~4 billion currently living in poverty (that is, on less than \$7.40 PPP per day), for whom life could, conceivably, be substantially improved.

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Appendix A. Supplementary data:

The following are the supplementary data to this article: Download Word document (265KB).

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- The Jus Semper Global Alliance
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About Jus Semper: The Jus Semper Global Alliance aims to contribute to achieving a sustainable ethos of social justice in the world, where all communities live in truly democratic environments that provide full enjoyment of human rights and sustainable living standards in accordance with human dignity. To accomplish this, it contributes to the liberalisation of the democratic institutions of society that have been captured by the owners of the market. With that purpose, it is devoted to research and analysis to provoke the awareness and critical thinking to generate ideas for a transformative vision to materialise the truly democratic and sustainable paradigm of People and Planet and NOT of the market.

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